OKI America, Inc

Washington, D.C. Office

1101 14th Street, N.W., Suite 555, Washington, D.C. 20005 TEL 202/452-6190 or 6192 • FAX 202/452-6148

Attorney Docket: F00ED0023

Commissioner Ton Patents Washington D.C. 20031

**Attention**: Office of Initial Patent Examination

Patent Application of: Re:

DEC 2 0 2001

Applicant(s)

: Mitsuru KOMIYAMA and Shinsuke SUZUKI

Application No.

: 09/963,590

Filed

: September 27, 2001

Sir:

In response to the Notice to File Missing Parts dated November 2, 2001, submitted herewith are:

- Surcharge \$130.00. Please charge our Deposit Account No. 50-0945. [X]
- Declaration in accordance with 37 CFR §1.63. [X]
- Assignment Cover Sheet and Assignment. [X]

NOTE: \$40 Assignment Fee was authorized to be charged with filing fee on September 27, 2001. (Please see attached copies of the postcard receipt and Fee Transmittal dated September 27, 2001, which indicate the Assignment Fee previously authorized to be charged with filing fee.) However, the Assignment Fee has not yet been charged to our Deposit Account as of December 20, 2001.

Please charge \$40 Assignment Fee in accordance with our previous authorization.

Copy of U.S. Patent and Trademark Office notice dated November 2, 2001. [X]

TOTAL FEE TO BE CHARGED

\$130.00

Should any additional fee be required, please charge our Deposit Account No. 50-0945 and advise us accordingly.

Respectfully submitted,

<u>December 20, 2001</u>

Date

Junichi Mimura

Registration No. 40,351

**OKI** America

1101 14th Street, N.W., Suite 555, Washington, D.C. 20005 TEL 202/452-6190 or 6192 • FAX 202/452-6148

## RECORDATION COVER SHEET

NAME OF GONVEYING PARTY

Mitsuru KOMIYAMA and

Shinsuke SUZUKI

NAME OF RECEIVING PARTY

Oki Electric Industry Co., Ltd.

ADDRESS OF RECEIVING PARTY

7-12, Toranomon 1-chome, Minato-ku, Tokyo 105, Japan

NATURE OF CONVEYANCE

Assignment of U.S. Patent Application

CONVEYANCE EXECUTION DATE

December 12, 2001

PATENT APPLICATION NO.

09/963,590

APPLICATION FILED TOGETHER

WITH THIS DOCUMENT

NO

APPLICATION EXECUTION DATE

December 12, 2001

CORRESPONDENCE

**ADDRESS** 

Oki America, Inc.

1101 14th Street, N.W., Suite 555

Washington, D.C. 20005

ATTORNEY REFERENCE

F00ED0023

TOTAL NUMBER OF PATENTS

AND APPLICATIONS INVOLVED

One

**TOTAL FEE PAID** 

\$40.00 - Previously authorized to be

charged with filing fee on September

Hecenber 20, 200/

27, 2001

**METHOD OF PAYMENT** 

**Enclosed** 

Authorized to be charged to [X] deposit account 50-0945

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Junichi Mimura

(Registration No. 40,351)

(Customer No. 26071)

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL Application

## for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

	Complete if Known	
Application Number	To Be Assigned	
Filing Date	September 27, 2001	
First Named Inventor	Mitsuru KOMIYAMA	
Examiner Name	To Be Assigned	
Group Art Unit	To Be Assigned	
Attorney Docket No.	F00ED0023	

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)						
1. The Commissioner is hereby authorized to charge		3. ADDITIONAL FEES						
indicated fees and credit any overpayments to:		Entity Fee	Small Fee	Entity Fee				
Account Number 50-0945	Fee Code 105	(\$) 130	Code 205	(\$)	Fee Description Surcharge late filing fee or oath	Fee Paid		
Deposit Account OKI America, Inc.	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.			
Name  Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27		130	139	130	Non-English specification			
		2,520	147	2,520	For filing a request for ex parte reexamination			
		920*	112	920*	Requesting publication of SIR prior to Examiner action			
2. Payment Enclosed: Credit card Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
FEE CALCULATION	115	110	215	55	Extension for reply within first month			
1. BASIC FILING FEE	116	390	216	195	Extension for reply within second month			
Large Entity Small Entity	117	890	217	445	Extension for reply within third month			
Fee Fee Fee Fee Description Fee Paid	118	1,390	218	695	Extension for reply within fourth month			
101 710 201 355 Utility filing fee 710	128	1,890	228	945	Extension for reply within fifth month			
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal			
107 490 207 245 Plant filing fee	120	310	220	155	Filing a brief in support of an appeal			
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing			
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding			
	140	110	240	55	Petition to revive - unavoidable			
SUBTOTAL (1) (\$) 710	141	1,240	241	620	Petition to revive – unintentional			
2. EXTRA CLAIM FEES		1,240	242	620	Utility issue fee (or reissue)			
Fee from Extra Claims below Fee Paid	143	440	243	220	Design issue fee			
Total Claims 18 - 20**= 0 x 18 = 0	144	600	244	300	Plant issue fee			
Independent 3 - 3** = 0 x 80 = 0	122	130	122	130	Petitions to the Commissioner			
Multiple Dependent 0 = 0	123	50	123	50	Processing fee under 37 CFR1.17(q)			
Large Entity Small Entity	126	180	126	180	Submission of Information Disclosure Stmt			
Fee Fee Fee Code (\$) Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)	40		
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filling a submission after final rejection (37 CFR § 1.129(a))			
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 **Reissue independent claims	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))			
over original patent 110 18 210 9 **Reissue claims in excess of 20	179	710	279	355	Request for Continues Examination (RCE)			
and over original patent	169	900	169	900	Request for Expedited examination			
SUBTOTAL (2) (\$) 0	Other	fee (spe	cify)		of a design application			
**or number previously paid if greater. For Reissues, see above	*Reduc	ed by Ba	asic Fili	ng Fee	Paid SUBTOTAL (3)	40		

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Junichi Mimura	Registration No. (Attorney/Agent)	40,351	Telephone	(202) 452-6190
Signature		22.		Date	September 27, 2001



RECEIPT NO .:

OAI-0097

DATE:

September 27, 2001

F00ED0023

APPLICANT(S):

ATTY. DOCKET: Mitsuru KOMIYAMA and Shinsuke SUZUKI

SERIAL NO .:

To Be Assigned

FILED: September 27, 2001 FOR: MULTI-CHIP PACKAGE TYPE SEMICONDUCTOR DEVICE

## PAPERS FILED:

1) UTILITY PATENT APPLICATION TRANSMITTAL (IN DUPLICATE)

2) FEE TRANSMITTAL FOR FY 2001 (IN DUPLICATE)

3) SPECIFICATION, CLAIMS, ABSTRACT (22 PAGES)

4) 7 SHEETS OF DRAWINGS, Fig.1 - Fig. 6

5) CLAIM FOR PRIORITY AND ONE (1) PRIORITY DOCUMENT – JAPANESE PATENT APPLICATION NO. 2000-296328, FILED SEPTEMBER 28, 2000

FEE: \$750.00

(Account NO.: 50-9945

PLEASE DATE STAMP AND RETURN 6